## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 38002-0024

Applicant(s):

David MU et al.

Confirmation No.: 2406

Serial No.:

10/073,060

Examiner: To be assigned

Filing Date:

February 12, 2002

Group Art Unit: 1645

Title:

NOV 0 4 2002

AMPLIFIED CANCER GENE HEPSIN

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

Applicants believe that the instant Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits under 37 CFR §1.97(b)(3), therefore, no fee is required in connection with its filing.

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**TECH CENTER 1600/2900** 

## U.S. Serial No. 10/073,060

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

November 4, 2002

Date

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| Sheet 1 of 1 Form PTO/SB/08A  LIST OF REFERENCES CITED BY APPLICANT(S)  Date Submitted: November 4, 2002 |                    |                       |   |                                  |            |       |   | AL NO.<br><b>73,060</b> |                               |                  |  |
|--|--------------------|-----------------------|---|----------------------------------|------------|-------|---|-------------------------|-------------------------------|------------------|--|
|  |                    |                       |   | APPLICANT(S) David MU et al.     |            |       |   |                         |                               |                  |  |
|  |                    |                       |   | FILING DATE<br>February 12, 2002 |            |       | _ | GROUP.<br>1645          |                               |                  |  |
|  |                    |                       |   |                                  | DOCUMENT   | S     |   |                         |                               |                  |  |
| *EXAMINER<br>INITIAL   | DOCUMENT<br>NUMBER |                       | DATE<br>(M/D/Y)   | NAME                             |            | CLASS |   | SUBCLASS                | FILING DATE IF<br>APPROPRIATE |                  |  |
| 709  |                    |                       |   |                                  |            |       |   |                         |                               |                  |  |
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|  |                    |                       | FOR   | FIGN PATEN                       | IT DOCUME! | NTS   |   |                         |                               |                  |  |
|  |                    | DOCUMENT<br>NUMBER    | DATE<br>(M/D/Y)   | co                               | UNTRY      | CLAS  | S | SUBCLASS                | TRANSLATION YES NO            |                  |  |
|  | C01                | WO91/09974            | 7/11/91   | WIPO                             |            |       |   |                         | ·                             |                  |  |
| (  | C02                | WO99/00504            | 1/7/99  | WIPO                             |            |       |   |                         |                               |                  |  |
| (  | C03                | WO99/44063            | 9/2/99  | WIPO                             |            |       |   |                         |                               |                  |  |
| (  | C04                | WO02/50247            | 6/27/02   | WIPO                             | _          |       |   |                         |                               |                  |  |
|  |                    |                       |   |                                  |            |       |   |                         |                               |                  |  |
|  |                    |                       |   |                                  |            |       |   |                         |                               |                  |  |
|  |                    |                       |   |                                  |            |       |   |                         |                               |                  |  |
|  |                    |                       | ,   |                                  |            |       |   |                         |                               |                  |  |
| 1.   | 205 1              | OTHER DOC TANIMOTO et |   |                                  |            |       |   |                         | 142 Aba                       | etract           |  |
|  | C05                | al.                   | Proceedings of the American Assoc. for Cancer Research, Vol. 38, pg. 413, Abstract XP002938744 (1997)               |                                  |            |       |   |                         |                               |                  |  |
|  | C06                | TANIMOTO et al.       | Journal of the Society for Gynecologic Investigation 4(1): 577, Abstract XP002938745 (1977)                         |                                  |            |       |   |                         |                               |                  |  |
|  | C07                |                       | Serine Protease Hepsin (HEPS_HUMAN), retrieved from EBI Database Acession No. P05981, Abstract XP002213746 (1988)   |                                  |            |       |   |                         |                               |                  |  |
|  | C08                |                       | Serine Protease Hepsin (human) mRNA, retrieved from EBI Database Acession No. Al289181, Abstract XP002213747 (1988) |                                  |            |       |   |                         |                               |                  |  |
|  | 1                  |                       |   |                                  |            |       |   | REC                     | EIVE                          | <u> </u>         |  |
|  |                    |                       |   | ·-                               |            |       |   | NOV                     | <b>o 5</b> 2001               | 2                |  |
| EXAMINER   |                    |                       | <u> </u>  |                                  | DATE CON   |       |   | TECH CENT               | <del>FR 1600</del>            | <del>/2900</del> |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.